

Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name LK

Date 26/07/2007

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential					Comments
APRR Risk Rating Category	Possible Scores	Score Awarded			
(A) Category 1	10				
(B) Category 2	20	20			
(C) Category 3	30				
Component 2 - Progress with Upgrading					Comments
Status of Upgrading	Possible Scores	Score Awarded			
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0			
(B) Upgrading not yet complete and PG Note deadline has passed	10				
(C) Upgrading complete and meets BATNEEC Requirements	0	0			
(D) Emissions control exceeds BATNEEC Requirements	-10				
Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)					Comments
	Sensitivity of Receptors			Score Awarded	
Proximity to Emission Source	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5	12	
(B) 100 - 250m*	12	10	3		
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		
Component 4 - Other Targets					Comments
	Possible Scores	Score Awarded			
(A) Other air pollution problems in the local area to which process in a potential contributor	10				
(B) No such air pollution problems	0	0			
Total Score for Environmental Impact Appraisal	Range 0 to 70	32			

Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* <u>Un</u> justified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	32
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MINIMUM ACHEIVABLE SCORE FOR PROCESS	Range -10 to 175	25
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REGULATORY EFFORT CATEGORY *high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name AO

Date 07/01/2009

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential			Comments
APRR Risk Rating Category	Possible Scores	Score Awarded	
(A) Category 1	10		
(B) Category 2	20	20	
(C) Category 3	30		

Component 2 - Progress with Upgrading			Comments
Status of Upgrading	Possible Scores	Score Awarded	
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0	
(B) Upgrading not yet complete and PG Note deadline has passed	10		
(C) Upgrading complete and meets BATNEEC Requirements	0	0	
(D) Emissions control exceeds BATNEEC Requirements	-10		

Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)					Comments
Proximity to Emission Source	Sensitivity of Receptors			Score Awarded	
	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5	12	
(B) 100 - 250m*	12	10	3		
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		

Component 4 - Other Targets			Comments
	Possible Scores	Score Awarded	
(A) Other air pollution problems in the local area to which process is a potential contributor	10		
(B) No such air pollution problems	0	0	

Total Score for Environmental Impact Appraisal	Range 0 to 70	32	
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Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* <u>Un</u> justified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	32
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MINIMUM ACHEIVABLE SCORE FOR PROCESS	Range -10 to 175	25
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REGULATORY EFFORT CATEGORY *high=score of >80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name VPA

Date 21.10.09

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential				Comments	
APRR Risk Rating Category	Possible Scores	Score Awarded			
(A) Category 1	10				
(B) Category 2	20	20			
(C) Category 3	30				
Component 2 - Progress with Upgrading				Comments	
Status of Upgrading	Possible Scores	Score Awarded			
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0			
(B) Upgrading not yet complete and PG Note deadline has passed	10				
(C) Upgrading complete and meets BATNEEC Requirements	0	0			
(D) Emissions control exceeds BATNEEC Requirements	-10				
Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)				Comments	
Proximity to Emission Source	Sensitivity of Receptors			Score Awarded	
	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5		
(B) 100 - 250m*	12	10	3	10	
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		
Component 4 - Other Targets				Comments	
	Possible Scores	Score Awarded			
(A) Other air pollution problems in the local area to which process in a potential contributor	10				
(B) No such air pollution problems	0	0			
Total Score for Environmental Impact Appraisal				Range 0 to 70	30

Operator Performance Appraisal (not assessed as process has not operated for a number of years)

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	30
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REGULATORY EFFORT CATEGORY *high=score of >80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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Risk Assessment Method for Local Air Pollution Con

Name of Process ABP Ipswich Port Ltd

Whom score sheet discussed with _____

LA

Inspector's Name VPA

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential	
APRR Risk Rating Category	Possible Scores
(A) Category 1	10
(B) Category 2	20
(C) Category 3	30

Component 2 - Progress with Upgrading	
Status of Upgrading	Possible Scores
(A) Upgrading not complete but PG Note deadline has yet to be reached	5
(B) Upgrading not yet complete and PG Note deadline has passed	10
(C) Upgrading complete and meets BATNEEC Requirements	0
(D) Emissions control exceeds BATNEEC Requirements	-10

Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)			
Proximity to Emission Source	Sensitivity of Receptors		
	(x) High	(y) Medium	(z) Low
(A) <100m*	20	12	5
(B) 100 - 250m*	12	10	3
(C) 250 - 500m*	5	3	1
(D) >500m*	0	0	0

Component 4 - Other Targets	
	Possible Scores
(A) Other air pollution problems in the local area to which process in a potential contributor	10
(B) No such air pollution problems	0

Total Score for Environmental Impact Appraisal	Range 0 to 70
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Operator Performance Appraisal (not assessed as process has not operated)

Component 5 - Compliance Assessment	
Scale of Non-Compliance	Possible Scores
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points
(B) Incident leading to a justified complaint*	5 per incidents
(C) Breach of authorisation not leading to formal action	10 per incidents
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents

(E) Incident leading to a Prohibition Notice	20 per incidents
Total	(Max. 50)

* Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or v be clearly linked to an incident at the process.

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records

Criterion	Possible Scores		
	(x) Yes	(y) No	(z) N/A
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0
(C) Process operation modified where any problems indicated by monitoring?	0	5	0
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0
(E) Full documented records as required in authorisation available on-site?	0	5	0
(F) All relevant documents forwarded to the authority by date required?	0	5	0
Total score	(-5 to 30)		

Component 7 - Assessment of Management, Training and Responsibility

Criterion	Possible Scores		
	(x) Yes	(y) No	(z) N/A
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0
(F) Is an 'appropriate' environmental management system in place?	-5	0	0
Total	(-5 to 25)		

Total Score for Operator Performance Appraisal	Range -10 to 105
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175
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REGULATORY EFFORT CATEGORY *high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH
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Control Score Sheet

PG Note PG3/5

Reference 3.5/RJD/3/05

Date 15.12.11

	Comments
Score Awarded	
20	

	Comments
Score Awarded	
0	
0	

	Comments
Score Awarded	
10	

	Comments
Score Awarded	
0	

30	
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(ated for a number of years)

	Comments
Score Awarded	
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30

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LOW