

Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name LK

Date 26/07/2007

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential					Comments
APRR Risk Rating Category	Possible Scores	Score Awarded			
(A) Category 1	10				
(B) Category 2	20	20			
(C) Category 3	30				
Component 2 - Progress with Upgrading					Comments
Status of Upgrading	Possible Scores	Score Awarded			
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0			
(B) Upgrading not yet complete and PG Note deadline has passed	10				
(C) Upgrading complete and meets BATNEEC Requirements	0	0			
(D) Emissions control exceeds BATNEEC Requirements	-10				
Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)					Comments
	Sensitivity of Receptors			Score Awarded	
Proximity to Emission Source	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5	12	
(B) 100 - 250m*	12	10	3		
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		
Component 4 - Other Targets					Comments
	Possible Scores	Score Awarded			
(A) Other air pollution problems in the local area to which process in a potential contributor	10				
(B) No such air pollution problems	0	0			
Total Score for Environmental Impact Appraisal	Range 0 to 70	32			

Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* <u>Un</u> justified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	32
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MINIMUM ACHEIVABLE SCORE FOR PROCESS	Range -10 to 175	25
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REGULATORY EFFORT CATEGORY *high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name VPA

Date 04.04.11

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential				Comments	
APRR Risk Rating Category	Possible Scores	Score Awarded			
(A) Category 1	10				
(B) Category 2	20	20			
(C) Category 3	30				
Component 2 - Progress with Upgrading				Comments	
Status of Upgrading	Possible Scores	Score Awarded			
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0			
(B) Upgrading not yet complete and PG Note deadline has passed	10				
(C) Upgrading complete and meets BATNEEC Requirements	0	0			
(D) Emissions control exceeds BATNEEC Requirements	-10				
Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)				Comments	
Proximity to Emission Source	Sensitivity of Receptors			Score Awarded	
	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5		
(B) 100 - 250m*	12	10	3	10	
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		
Component 4 - Other Targets				Comments	
	Possible Scores	Score Awarded			
(A) Other air pollution problems in the local area to which process in a potential contributor	10				
(B) No such air pollution problems	0	0			
Total Score for Environmental Impact Appraisal				Range 0 to 70	30

Operator Performance Appraisal (not assessed as process has not operated for a number of years)

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	30
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REGULATORY EFFORT CATEGORY *high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process ABP Ipswich Port Ltd

PG Note PG3/5

Whom score sheet discussed with _____

LA Reference 3.5/RJD/3/05

Inspector's Name AO

Date 07/01/2009

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential					Comments
APRR Risk Rating Category	Possible Scores	Score Awarded			
(A) Category 1	10				
(B) Category 2	20	20			
(C) Category 3	30				

Component 2 - Progress with Upgrading					Comments
Status of Upgrading	Possible Scores	Score Awarded			
(A) Upgrading not complete but PG Note deadline has yet to be reached	5	0			
(B) Upgrading not yet complete and PG Note deadline has passed	10				
(C) Upgrading complete and meets BATNEEC Requirements	0	0			
(D) Emissions control exceeds BATNEEC Requirements	-10				

Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score)					Comments
Proximity to Emission Source	Sensitivity of Receptors			Score Awarded	
	(x) High	(y) Medium	(z) Low		
(A) <100m*	20	12	5	12	
(B) 100 - 250m*	12	10	3		
(C) 250 - 500m*	5	3	1		
(D) >500m*	0	0	0		

Component 4 - Other Targets				Comments
	Possible Scores	Score Awarded		
(A) Other air pollution problems in the local area to which process is a potential contributor	10			
(B) No such air pollution problems	0	0		

Total Score for Environmental Impact Appraisal	Range 0 to 70	32		
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Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per incidents	0	
(C) Breach of authorisation not leading to formal action	10 per incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per incidents	0	
(E) Incident leading to a Prohibition Notice	20 per incidents	0	
Total	(Max. 50)	0	
* <u>Un</u> justified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score	(-5 to 30)			0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion	Possible Scores			Score Awarded	
	(x) Yes	(y) No	(z) N/A		
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total	(-5 to 25)			0	

Total Score for Operator Performance Appraisal	Range -10 to 105	0
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OVERALL SCORE FOR THE PROCESS	Range -10 to 175	32
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MINIMUM ACHEIVABLE SCORE FOR PROCESS	Range -10 to 175	25
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REGULATORY EFFORT CATEGORY *high=score of >80, medium 40-80 and low <40	LOW, MED, HIGH	LOW
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