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	Risk Assessment	Method for	Local Air Po	ollution Cor	ntrol Score	Sheet	
Name of Process	ABP Ipswich Port Ltd				PG3/5		
Whom score sheet discussed with				L	A Reference	3.5/RJD/3/05	
Inspector's Name	LK				Date	26/07/2007	
Environmental In	npact Appraisal						
Component 1 - Inher	ent Environmental Impact Potenti	al				Со	mments
APRR Risk Rating C	ategory			Possible Scores	Score Awarded		
(A) Category 1				10			
(B) Category 2				20	20		
(C) Category 3				30			
Component 2 - Prog	ress with Upgrading					Со	mments
					Score		
Status of Upgrading				Scores	Awarded		
	nplete but PG Note deadline has yet	to be reach	ned	5	0		
	complete and PG Note deadline has			10			
(C) Upgrading comple	te and meets BATNEEC Requireme	ents		0	0		
(D) Emissions control	exceeds BATNEEC Requirements			-10			
Component 3 - Sens	itivity and Proximity of Receptors	(circle and	propriate sco	re)		Co	mments
			itivity of Rec				
Proximity to Emissic	on Source	(x) High	(y) Medium		Score Awarded		
(A) <100m*		20	12	5	12		
(B) 100 - 250m*		12	10	3			
(C) 250 - 500m*		5	3	1			
(D) >500m*		0	0	0			
Component 4 - Othe	r Targets					Со	mments
·	x			Possible Scores	Score Awarded		
(A) Other air pollution contributor	problems in the local area to which p	process in a	a potential	10			
(B) No such air polluti	on problems			0	0		

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Total Score for Environmental Impact Appraisal	Range 0 to 70	32	

Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation			
condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per		
	incidents	0	
(C) Breach of authorisation not leading to formal action	10 per		
	incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per		
	incidents	0	
(E) Incident leading to a Prohibition Notice	20 per		
	incidents	0	
Total	(Max. 50)	0	
* Unjustified complaints may be e.g. those considered by the inspector to be unre	asonable or v	which cannot	
be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring	Comments				
Criterion	F	Possible Sco	res	Score	
Citterion	(x) Yes	(y) No	(z) N/A	Awarded	
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score		(-5 to 30)		0	

Component 7 - Assessment of Management, Training a	and Resp	onsibility			Comments
Criterion	F	Possible Sc	ores	Score	
Griterion	(x) Yes	(y) No	(z) N/A	Awarded	
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total		(-5 to 25)	0	
Total Score for Operator Performance Appraisal	F	Range -10 to	0 105	0	
OVERALL SCORE FOR THE PROCESS	F	Range -10 to	o 175	32	
MINIMUM ACHEIVABLE SCORE FOR PROCESS	F	Range -10 to	o 175	25	
REGULATORY EFFORT CATEGORY *high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH		LOW		

Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process	ABP Ipswich Port Ltd				PG Note	PG3/5	
Whom score sheet discussed with				L/	A Reference	3.5/RJD/3/05	
Inspector's Name	AO				Date	07/01/2009	
Environmental Im	pact Appraisal						
Component 1 - Inhere	ent Environmental Impact Potenti	al				Comn	nents
APRR Risk Rating Ca	itegory			Possible Scores	Score Awarded		
(A) Category 1				10			
(B) Category 2				20	20		
(C) Category 3				30			
Component 2 - Progr	ess with Upgrading					Comn	nents
· · · ·	• • •			Possible	Score		
Status of Upgrading				Scores	Awarded		
	plete but PG Note deadline has yet		led	5	0		
	complete and PG Note deadline has			10	0		
	te and meets BATNEEC Requireme exceeds BATNEEC Requirements	ents		0 -10	0		
Component 3 - Sensi	tivity and Proximity of Receptors	· · · ·				Comn	nents
		Sensi	tivity of Rec	eptors	0		
Proximity to Emission	n Source	(x) High	(y) Medium		Score Awarded		
(A) <100m*		20	12	5	12		
(B) 100 - 250m* (C) 250 - 500m*		12 5	10 3	3			
(D) >500m*		0	0	0			
		0	0	0			
Component 4 - Other	Targets				-	Comn	nents
				Possible Scores	Score Awarded		
(A) Other air pollution problems in the local area to which process in a potential					7 maraoa		
contributor				10			
(B) No such air pollutio	n problems			0	0		
Total Score for Environmental Impact Appraisal				Range 0 to 70	32		

Operator Performance Appraisal

Component 5 - Compliance Assessment			Comments
Scale of Non-Compliance	Possible Scores	Score Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation			
condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per		
	incidents	0	
(C) Breach of authorisation not leading to formal action	10 per		
	incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per		
	incidents	0	
(E) Incident leading to a Prohibition Notice	20 per		
	incidents	0	
Total	(Max. 50)	0	
* Unjustified complaints may be e.g. those considered by the inspector to be unre	asonable or v	which cannot	
be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring	Comments				
Criterion	F	Possible Scor	es	Score	
Criterion	(x) Yes	(y) No	(z) N/A	Awarded	
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score		(-5 to 30)		0	

Component 7 - Assessment of Management, Training a	and Resp	onsibility			Comments
Criterion	F	Possible Sc	ores	Score	
Ginenon	(x) Yes	(y) No	(z) N/A	Awarded	
A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
B) Specific responsibilities assigned to individual staff for hese procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total		(-5 to 25		0	
Total Score for Operator Performance Appraisal	F	Range -10 to	o 105	0	
OVERALL SCORE FOR THE PROCESS	F	Range -10 to	o 175	32	
MINIMUM ACHEIVABLE SCORE FOR PROCESS	F	Range -10 to	o 175	25	
REGULATORY EFFORT CATEGORY					
high=score of>80, medium 40-80 and low <40	L	OW, MED,	HIGH	LOW	

Risk Assessment Method for Local Air Pollution Control Score Sheet

Name of Process	ABP Ipswich Port Ltd	PG Note PG3/5					
Whom score sheet discussed with		L	A Referenc	e 3.5/RJD/3/05			
Inspector's Name	VPA		Date	21.10.09			
Environmental Im	npact Appraisal						
Component 1 - Inher	ent Environmental Impact Potential				Comments		
APRR Risk Rating C	ategory	Possible	Score				

APRR Risk Rating Category				
A) Category 1				
		20	20	
		30		
				•
			-	Comments
	ned	÷	0	
		÷	0	
S		-10		
s (circle and	vonriate sco	vro)		Comments
				Comments
00113			Score	
(x) High	(y) Medium	(z) Low		
20	12	5	/ Waldou	
-	10	-	10	
5	3	1		
0	0	0		
				Comments
		Bessible	Saara	Comments
(A) Other air pollution problems in the local area to which process in a potential				
contributor				
(B) No such air pollution problems				
	as passed nents s rs (circle app Sens (x) High 20 12 5 0	rs (circle appropriate sco Sensitivity of Rec (x) High (y) Medium 20 12 12 10 5 3 0 0	30 Possible Scores nents 0 s -10 rs (circle appropriate score) Sensitivity of Receptors (x) High (y) Medium (z) Low 20 12 5 12 10 3 5 3 1 0 0 0	Scores Awarded 10 10 20 20 30 30 Possible Score Scores Awarded et to be reached 5 0 as passed 10 ments 0 0 s -10 - rs (circle appropriate score) Score Awarded 20 12 5 - - Awarded 20 10 -

Operator Performance Appraisal (not assessed as process has not operated for a number of years)

Component 5 - Compliance Assessment	Comments		
Scale of Non-Compliance	Possible	Score	
	Scores	Awarded	
(A) Incident leading to justified compliant but no breach of specific authorisation			
condition or of general/residual BATNEEC condition	0 points	0	
(B) Incident leading to a justified complaint*	5 per		
	incidents	0	
(C) Breach of authorisation not leading to formal action	10 per		
	incidents	0	
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per		
	incidents	0	
(E) Incident leading to a Prohibition Notice	20 per		
	incidents	0	
Total	(Max. 50)	0	
* Unjustified complaints may be e.g. those considered by the inspector to be unre	asonable or v	which cannot	
be clearly linked to an incident at the process.			

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records				Comments	
Criterion	Possible Scores			Score	
Citterion	(x) Yes	(y) No	(z) N/A	Awarded	
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	0	
Total score		(-5 to 30)		0	

Component 7 - Assessment of Management, Training and Responsibility					Comments
Criterion		Possible Scores			
Citterion	(x) Yes	(y) No	(z) N/A	Awarded	
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	0	
Total		(-5 to 25)	0	
Total Score for Operator Performance Appraisal	F	Range -10 to	0 105	0	

OVERALL SCORE FOR THE PROCESS Range -10 to 175 30

REGULATORY EFFORT CATEGORY	LOW, MED, HIGH	LOW
*high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH	LOW

Risk Assessment Method for Local Air Pollution Con

Name of Process	ABP Ipswich Port Ltd	
Whom score sheet discussed with		L/
Inspector's Name	VPA	

Environmental Impact Appraisal

Component 1 - Inherent Environmental Impact Potential	
APRR Risk Rating Category	Possible Scores
(A) Category 1	10
(B) Category 2	20
(C) Category 3	30

Component 2 - Progress with Upgrading

	Possible
Status of Upgrading	Scores
(A) Upgrading not complete but PG Note deadline has yet to be reached	5
(B) Upgrading not yet complete and PG Note deadline has passed	10
(C) Upgrading complete and meets BATNEEC Requirements	0
(D) Emissions control exceeds BATNEEC Requirements	-10

Component 3 - Sensitivity and Proximity of Receptors	(circle app	ropriate sco	re)	
	Sensitivity of Receptors			
Proximity to Emission Source	(x) High	(y) Medium	(z) Low	
(A) <100m*	20	12	5	
(B) 100 - 250m*	12	10	3	
(C) 250 - 500m*	5	3	1	
(D) >500m*	0	0	0	

_	Possible
	Scores
(A) Other air pollution problems in the local area to which process in a potential contributor	10
(B) No such air pollution problems	0
Total Score for Environmental Impact Appraisal	Range 0 to 70

Operator Performance Appraisal (not assessed as process has not opera

Component 5 - Compliance Assessment				
Scale of Non-Compliance	Possible Scores			
(A) Incident leading to justified compliant but no breach of specific authorisation				
condition or of general/residual BATNEEC condition	0 points			
(B) Incident leading to a justified complaint*	5 per			
	incidents			
(C) Breach of authorisation not leading to formal action	10 per			
	incidents			
(D) Incident leading to formal caution, Enforcement Notice or prosecution	15 per			
	incidents			

Total	(Max. 50)
(E) Incident leading to a Prohibition Notice	20 per incidents

* <u>Unj</u>ustified complaints may be e.g. those considered by the inspector to be unreasonable or v be clearly linked to an incident at the process.

Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records				
Criterion	Possible Scores			
	(x) Yes	(y) No	(z) N/A	
(A) All monitoring undertaken to the degree required in the authorisation?	0	10	0	
(B) Monitoring requirements reduced because results over time show consistent compliance?	-5	0	0	
(C) Process operation modified where any problems indicated by monitoring?	0	5	0	
(D) Fully documented and adhered to maintenance programme, in line with authorisation?	0	5	0	
(E) Full documented records as required in authorisation available on-site?	0	5	0	
(F) All relevant documents forwarded to the authority by date required?	0	5	0	
Total score		(-5 to 30)		

Critorian	Possible Scores			
Criterion	(x) Yes	(y) No	(z) N/A	
(A) Documented procedures in place for implementing all aspects of the authorisation?	0	5	0	
(B) Specific responsibilities assigned to individual staff for these procedures?	0	5	0	
(C) Completion of individual responsibilities checked and recorded by the company?	0	5	0	
(D) Documented training records for all staff with air pollution control responsibilities?	0	5	0	
(E) Trained staff on site throughout periods where potentially air-polluting activities take place?	0	5	0	
(F) Is an 'appropriate' environmental management system in place?	-5	0	0	
Total		(-5 to 25)		
Total Score for Operator Performance Appraisal	R	ange -10 to	105	

OVERALL SCORE FOR THE PROCESS Range -10 to 175

REGULATORY EFFORT CATEGORY	
*high=score of>80, medium 40-80 and low <40	LOW, MED, HIGH

strol Score Sheet

PG Note PG3/5

A Reference 3.5/RJD/3/05

Date 15.12.11

_	Comments
Score	
Awarded	
20	
	Comments
Score	Comments
Score Awarded	Comments
	Comments
	Comments
	Comments

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ated for a number of years)

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LOW