

**Risk Assessment Method for Local Air
Pollution Control Score Sheet
Revised July 2013**

| | |
|--|-------------------|
| Name of authorised process/installation | Lebonze Alloys UK |
| Name of person with whom sheet discussed | John McRoberts |
| SG Note | SG 4 |
| Local Authority Reference | EP/54/4/BA |
| Inspector's Name | Ben Atkinson |
| Date | 20th March 2019 |

Environmental Impact Appraisal

| Component 1 – Inherent Environmental Impact Potential | | |
|--|------------------------|----------------------|
| APRR Risk Rating Category | Possible Scores | Score Awarded |
| (A) Category 1 | 10 | |
| (B) Category 2 | 20 | |
| (C) Category 3 | 30 | 30 |

| Component 2 - Progress with Upgrading | | |
|--|------------------------|----------------------|
| Status of Upgrading | Possible Scores | Score Awarded |
| (A) Upgrading not complete but SG Note deadline has yet to be reached | 5 | 5 |
| (B) Upgrading not yet complete and SG Note deadline has passed | 10 | 0 |
| (C) Upgrading complete and meets BAT Requirements | 0 | 0 |
| (D) Emissions control exceeds BAT Requirements | -10 | 0 |
| (E) Improvement programme not submitted within 6 months of issue of permit | 5 | 0 |

| Component 3 - Sensitivity and Proximity of Receptors (circle appropriate score) | | | |
|--|---------------------------------|----------------|----------------|
| Proximity to Emission Source | Sensitivity of Receptors | | |
| | (x) High | (y) Med | (z) Low |
| (A) < 100m* | 20 | 12 | 5 |
| (B) 100 - 250m* | 12 | 10 | 3 |
| (C) 250 - 500m* | 5 | 3 | 1 |
| (D) >500m* | 0 | 0 | 0 |

Note: Distances should be measured from the process itself, rather than the site boundary.

| Component 3 – Other Targets | | |
|--|------------------------|----------------------|
| | Possible Scores | Score Awarded |
| (A) Other air pollution problems in the local area to which process is a potential contributor | 10 | 0 |
| (B) No such air pollution problems | 0 | 0 |
| Total for Environmental Impact Appraisal | Range 0 to 70 | 50 |

Operator Performance Appraisal

| Component 5 - Compliance Assessment | | |
|--|------------------------|----------------------|
| Scale of Non-Compliance | Possible Scores | Score Awarded |
| (A) Incident leading to justified complaint but no breach of any specific authorisation condition or of the general/residual BAT condition | 0 | 0 |
| (B) Incident leading to a justified complaint* | 10 per incident | 0 |
| (C) Breach of authorisation not leading to formal action | 10 per incident | 0 |
| (D) Incident leading to formal caution, Enforcement Notice or prosecution | 15 per incident | 0 |
| (E) Incident leading to a Prohibition Notice or Suspension Notice | 20 per incident | 0 |
| Total | (Max 55) | 0 |
| * Unjustified complaints may be e.g. those considered by the inspector to be unreasonable or which cannot be clearly linked to an incident at the process. | | |

| Scoring for Component 6 - Assessment of Monitoring, Maintenance and Records | | | | |
|---|------------------------|-------------------|--------------------|----------------------|
| Criterion | Possible Scores | | | Score Awarded |
| | (x) Yes | (y) No | (z) N/A | |
| (A) All monitoring undertaken to the degree required in the authorisation? | 0 | 10 | 0 | 0 |
| (B) Monitoring requirements reduced because results over time show consistent compliance? | -5 | 0 | 0 | 0 |
| (C) Process operation modified where any problems indicated by monitoring? | 0 | 10 | 0 | 0 |

| | | | | |
|--|-------------------|----|---|---|
| (D) Fully documented and adhered to maintenance programme, in line with authorisation? | 0 | 10 | 0 | 0 |
| (E) Full documented records as required in authorisation available on-site? | 0 | 5 | 0 | 0 |
| (F) All relevant documents forwarded to the authority by date required? | 0 | 10 | 0 | 0 |
| Total Score | (-5 to 45) | | | 0 |

| Component 7 - Assessment of Management, Training and Responsibility | | | | |
|---|-------------------|-----------|------------|---------------|
| Criterion | Possible Scores | | | Score Awarded |
| | (x) Yes | (y) No | (z) N/A | |
| (A) Documented procedures in place for implementing all aspects of the authorisation? | 0 | 5 | 0 | 0 |
| (B) Specific responsibilities assigned to individual staff for these procedures? | 0 | 5 | 0 | 0 |
| (C) Completion of individual responsibilities checked and recorded by the company? | 0 | 5 | 0 | 0 |
| (D) Documented training records for all staff with air pollution control responsibilities? | 0 | 5 | 0 | 0 |
| (E) Trained staff on site throughout periods where potentially air-polluting activities take place? | 0 | 5 | 0 | 0 |
| (F) Is an 'appropriate' environmental management system in place? | -5 | 0 | 0 | 0 |
| Total Score | (-5 to 25) | | | 0 |

| | | |
|---|-------------------------|---|
| Total for Operator Performance Appraisal | Range -10 to 125 | 0 |
|---|-------------------------|---|

| | | |
|--|-------------------------|-----|
| Overall Score for the Process | Range -10 to 195 | 50 |
| Regulatory Effort Category High =>80, med = 40 – 80, low = <40 | Low/Med/High | med |

